

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification n<sup>4</sup> :

G02B 6/16, 6/22

A1

(11) International Publication Number:

WO 86/ 04689

(43) International Publication Date:

14 August 1986 (14.08.86)

(21) International Application Number: PCT/US86/00090

(22) International Filing Date: 22 January 1986 (22.01.86)

(31) Priority Application Number: 699,641

(32) Priority Date: 8 February 1985 (08.02.85)

(33) Priority Country: US

(71) Applicant: AMERICAN TELEPHONE &amp; TELEGRAPH COMPANY [US/US]; 550 Madison Avenue, New York, NY 10022 (US).

(72) Inventors: GLODIS, Paul, Francis ; 43 Avery Drive, Atlanta, GA 30309 (US). LENAHER, Terrence, Albert ; 3736 Bloxham Court, Chamblee, GA 30341 (US).

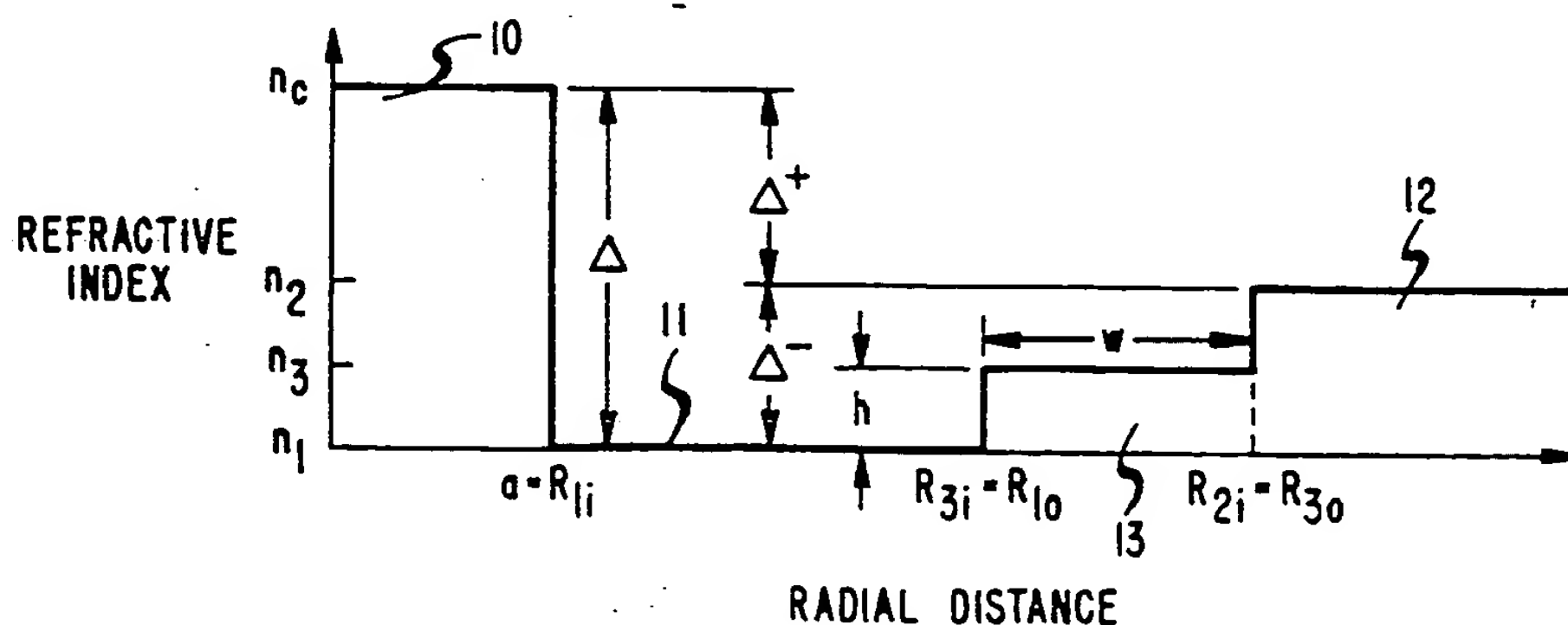
(74) Agents: HIRSCH, A., E., Jr. et al.; AT &amp; T Bell Laboratories, Post Office Box 679, Holmdel, NJ 07733 (US).

(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

Published

*With international search report.  
With amended claims.*

(54) Title: SINGLE MODE OPTICAL FIBER



## (57) Abstract

A single mode optical fiber comprises a core (e.g., 10), a first cladding (e.g. 11) surrounding the core, and a second cladding (e.g. 12) surrounding the first cladding. It also comprises a third cladding region (or index "ring") (e.g. 13). The core has radius  $a$  and refractive index  $n_c$ , the first, second, and third cladding regions have inner radii,  $R_{1i}$ ,  $R_{2i}$ , and  $R_{3i}$ , outer radii  $R_{1o}$ ,  $R_{2o}$ , and  $R_{3o}$ , and indices  $n_1$ ,  $n_2$ ,  $n_3$ , respectively. The fiber has  $n_1 < n_3$ ,  $R_{1o} \leq R_{3i}$ ,  $R_{3o} \leq R_{2i}$ . In preferred embodiments,  $a = R_{1i}$ ,  $R_{1o} = R_{3i}$ ,  $R_{3o} = R_{2i}$ . Appropriate choice of ring parameters can result in a lowering of the cut-off wavelength of the fiber, or in fiber that is less sensitive to macrobending than similar prior art fiber lacking an index ring, without substantial change in fiber parameters that depend primarily on the waveform in the core. An optical fiber communications system comprising the inventive fiber is also disclosed.

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GA	Gabon	MR	Mauritania
AU	Australia	GB	United Kingdom	MW	Malawi
BB	Barbados	HU	Hungary	NL	Netherlands
BE	Belgium	IT	Italy	NO	Norway
BG	Bulgaria	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali		
FR	France				

## SINGLE MODE OPTICAL FIBER

Field of the Invention

This invention pertains to optical fiber, and to a  
5 communications system comprising such fiber.

Background of the Invention

Although optical fiber communications is a relatively young field, it has reached by now a high level of sophistication. For instance, single mode optical fiber  
10 that permits repeater spacings of the order of 30 km and transmission rates of the order of hundreds of megabits/second is now available.

Almost all currently used high performance optical fiber is silica-based fiber (comprising more than 50% by  
15 weight, typically more than 80%, of  $\text{SiO}_2$ ) typically with a core region having a relatively high refractive index, surrounded by one or more cladding regions of lower refractive index than the core. The indices are raised or lowered, relative to the refractive index of silica, by  
20 means of dopants. As is well known, Ge, Al, and P, for instance, raise the refractive index of silica, whereas F and B lower it. Methods for producing optical fiber are well known to those skilled in the art and need no review here. See, for instance, U. S. Patent 4,217,027.

25 Single mode optical fiber, i.e., optical fiber in which only the fundamental mode (to be designated herein  $\text{LP}_{01}$ ) of electromagnetic radiation of wavelength equal to the operating wavelength of the fiber propagates with low loss, can have a variety of known index profiles.  
30 Typically, the core region and the surrounding cladding region consist of high purity deposited glass material, which is frequently surrounded by a further cladding region consisting of glass derived from a frequently much less pure silica substrate or sleeve tube. The core region  
35 typically has a refractive index that is greater than, or at least not less than, that of pure silica, and the deposited cladding region immediately surrounding the core

- 2 -

typically has a refractive index that is equal to or less than that of pure silica. The normalized difference between the core refractive index and the refractive index of the cladding region immediately surrounding the core, often designated  $\Delta$ , is typically of the order of 1% or less. For instance, in a commercially available single mode optical fiber, the core has an effective diameter of about 8  $\mu\text{m}$ , and  $\Delta$  of about 0.40%. In that fiber, the deposited cladding region surrounding the core has an outer radius that is about 6.5 times the radius of the core, and has a normalized refractive index that is about 0.15% less than that of silica. The deposited cladding is surrounded by a second cladding region consisting of material derived from a silica substrate tube, resulting in a fiber outside diameter of about 125  $\mu\text{m}$ . The above described fiber is an example of "depressed" cladding fiber. See, for instance, U. S. Patent No. 4,447,127, and H. Etzkorn et al, Electronics Letters, Vol. 20 (10), pp. 423-424 (1984). In a "matched" cladding fiber the refractive index of the deposited cladding is substantially equal to that of silica, and therefore, to that of the outer cladding.

Fibers with more complicated index profiles comprising a multiplicity of deposited cladding regions are also known. See, for instance, U. S. Patent 4,435,040, and U. S. patent application Serial No. 357,053, filed March 11, 1982 by L. G. Cohen et al. The multiple cladding regions known to the prior art typically are designed to affect the transmission characteristics of the optical fiber. In particular, such profiles may be designed to lead to increased bandwidth of the fiber, since the additional cladding layers make it possible to produce fiber having two or more wavelengths of zero dispersion, with low dispersion between the zero-dispersion wavelengths.

A characteristic parameter of optical fiber is the cut-off wavelength  $\lambda_c$ , namely, that wavelength above which only the fundamental mode can propagate over

- 3 -

significant distances in the fiber. For step index fiber, the theoretical cut-off wavelength is defined by  $V=2.405$ , where  $V = ka (n_c^2 - n_1^2)^{1/2}$ , with  $n_c$  being the core refractive index, and  $n_1$  the refractive index of the deposited cladding surrounding the core.  $k = 2\pi/\lambda$ , where  $\lambda$  is the wavelength, and  $a$  is the radius of the core.

It is to be noted that the index profiles of real fibers typically only approximate the ideal profiles used in theoretical work. However, it is essentially always possible to define an equivalent profile in which all fiber regions have well-defined indices and radii, with the "equivalent" fiber having essentially the same transmission properties as the fiber which it represents. See for instance, R. J. Black et al, Journal of Lightwave Technology, Vol. LT-2, No. 3, June 1984, pp. 268-276. It is to be understood that the terms "refractive index" and "radius" of a fiber region herein are intended to refer to the equivalent index and equivalent radius of the fiber region, unless otherwise noted.

Although the theoretical cut-off wavelength of a single mode fiber is well defined, in actual fibers the attenuation of higher order modes (most importantly, the first higher order mode designated  $LP_{11}$ ) does not become infinite discontinuously, but rather increases over a range of wavelengths. Thus, it is usual practice to define an experimental cut-off wavelength, which typically differs somewhat from the theoretical cut-off wavelength. For instance, the experimental cut-off wavelength can be defined as that wavelength at which the attenuation of  $LP_{11}$  is 4 dB/m. The term "cut-off wavelength" herein is intended to refer to the experimental cut-off wavelength, unless otherwise noted.

In single mode optical fibers, the fundamental mode should have the lowest possible loss even when the fiber is bent, and the higher order modes should either be absent or have sufficiently high attenuation at the

- 4 -

operating wavelength, to avoid significant intermodal dispersion and modal noise. Changes in the index profile of a fiber that strengthen the guiding of the fundamental mode, to thereby reduce the susceptibility of the fiber to  
5 macrobending loss (e.g., increasing the V-number by increasing  $\Delta$ ) often strengthen the guiding of higher order modes as well, and thus may not be able to simultaneously further both of the above objectives.

We are disclosing herein a new fiber profile that  
10 promotes differential mode attenuation, allowing the fundamental mode guiding to be strengthened relative to that of the secondary modes.

#### Summary of the Invention

Disclosed is an optical fiber communications  
15 system that comprises at least one source of electromagnetic radiation, at least one optical fiber that is part of an optical fiber transmission path, means for coupling radiation into the fiber transmission path, the radiation being guided axially through the fiber  
20 transmission path to an output location, where the radiation is typically detected by a radiation detector. The fiber transmission path can be a single length of fiber, or it can consist of a multiplicity of optically coupled segments. At least part of the fiber transmission  
25 path consists of fiber according to the invention. Fiber according to the invention is single mode optical fiber at the operating wavelength of the system, the fiber having a core region, at least a first cladding region concentrically surrounding the core, and a second cladding  
30 region concentrically surrounding the first cladding region. The inventive fiber further comprises a third cladding region which will frequently be referred to as a "ring". The core and the first, second, and third cladding regions have equivalent refractive indices  $n_c$ ,  
35  $n_1$ ,  $n_2$ , and  $n_3$ , respectively. Furthermore, the core has an effective radius  $a$ , the first, second, and third cladding regions have effective inner radii  $R_{1i}$ ,



- 5 -

$R_{2i}$ , and  $R_{3i}$ , and effective outer radii  $R_{1o}$ ,  $R_{2o}$ , and  $R_{3o}$ , respectively. Furthermore,  $n_3 > n_1$ ,  $R_{3o} \leq R_{2i}$ , and  $R_{3i} \geq R_{1o}$ . The fiber parameters, e.g.,  $R_{3i}$ ,  $R_{3o}$ , and  $n_3$ , are chosen such that, for radiation of wavelength equal to the operating wavelength of the system, at  $R_{3i}$  the square of the amplitude of  $LP_{01}$  is at most  $10^{-5}$  of the square of the amplitude of that mode at the center of the core. The parameters are also chosen such that at  $R_{2i}$  the square of the amplitude of the  $LP_{11}$  mode is at least 50% larger than that of the  $LP_{11}$  mode of a second (reference) fiber that is identical in all respects to the inventive fiber, except that in the reference fiber  $R_{3i} = R_{3o}$  (i.e., no ring is present), with the comparison being made at a wavelength equal to the cut-off wavelength of the reference fiber. Also, in the fiber according to the invention, at the operating wavelength, the square of the amplitude of the  $LP_{01}$  mode at  $R_{2i}$  is at most 50% larger than that of the  $LP_{01}$  mode of the reference fiber at the same radius and wavelength. These conditions assure that the presence of the ring does not substantially affect such fiber properties as spot-size, bandwidth and macrobending sensitivity, but does have a substantial effect on the guiding of higher order modes.

In preferred embodiments, the first and third cladding regions are deposited cladding, whereas the second cladding region consists of less pure tube-derived silica, with  $R_{3o} = R_{2i}$ . In preferred embodiments of the depressed cladding type, typically  $n_1 < n_3 < n_2$ . In at least some preferred embodiments, we have found it to be advantageous if  $R_{3i} \geq 4a$ , where  $a$  is the core radius. In preferred depressed cladding fiber the "height"  $h$  of the ring is between 10% and 75% of  $\Delta^-$ , the (to be defined) normalized cladding index depression.

Fiber according to the invention can have a lower cut-off wavelength than comparable prior art fiber, or can



- 6 -

be less sensitive to macrobending, without substantial change in such important fiber parameters as spot size and dispersion, which primarily depend on the wave form in the core. In conjunction with appropriate core parameter  
5 changes, microbending and/or macrobending sensitivity can be reduced without change in cut-off wavelength.

As will be appreciated by those skilled in the art, the ability to lower the cut-off wavelength of a fiber, without substantially altering fiber characteristics that  
10 depend primarily on the waveform in the core, gives added design freedom. For instance, this ability is considered to be useful for designing dual (or multiple) "window" fibers (e.g., fiber having two operating wavelengths, such as 1.31  $\mu\text{m}$  and 1.55  $\mu\text{m}$ ), since it may permit reducing  $\lambda_c$   
15 below the shortest operating wavelength, while retaining otherwise advantageous values of  $n_c$ ,  $n_1$ , and  $a$ . Fiber according to the invention also can, for instance, be advantageously used when short lengths of fiber (e.g., fractions of a meter to a few meters) are to be inserted  
20 into a fiber communications system. As is well known, the actual cut-off wavelength of optical fiber is somewhat length dependent, with a short length of fiber having a cut-off wavelength greater than that of an otherwise identical longer length of fiber. Short lengths of fibers  
25 must frequently be present in fiber communications systems, for instance, as "pigtailed" for coupling radiation sources or detectors into the system, or as "patch cord", either temporarily or permanently. Use of short lengths and long lengths of the same fiber in the same transmission path,  
30 however, may result in the introduction of modal noise, and thus result in degradation of system performance. See, N.K. Cheung et al, Electronics Letters, Vol. 21(1), pp. 5-7 (1985). Use of short lengths of fiber according to the invention may prevent such degradation.

35 Brief Description of the Drawings

FIGS. 1-3 depict exemplary refractive index profiles of fibers according to the invention;

FIG. 4 shows cut-off contours for depressed index fibers according to the invention, for a range of ring parameters;

FIG. 5 shows macrobending contours for exemplary depressed index fibers according to the invention, for a range of ring parameters; and

FIG. 6 schematically shows an exemplary fiber communications system according to the invention.

#### Detailed Description

10 A central aspect of the invention is the addition of an index ring, i.e., of a relatively narrow cladding region having an index that is greater than that of a first cladding region enclosed by the ring, at an appropriate radial distance from the core of the fiber.

15 FIGS. 1-3 show exemplary index profiles of fibers according to the invention. In all three figures, numerals 10 refer to the fiber core, 11 to the first cladding region (typically deposited cladding), 12 to the second cladding region (typically consisting of material derived from a  
20 silica substrate or sleeve tube), and 13 to the third cladding region, the index ring. In all three figures only the right half of the relevant profile is shown, and the profiles are understood to schematically represent equivalent step index profiles. The refractive indices are referred to an arbitrary origin, and absolute and relative radial distances and refractive indices are not intended to be to scale. Although the (equivalent) profiles of FIGS. 1-3 show only step changes in refractive index, this is not a necessary requirement. For instance, the core can  
25 have a graded index, e.g., a triangular or parabolic profile. Such profiles are well known to the practitioner. In case of a graded index core,  $n_c$  is intended to refer to the maximum equivalent index value in the core. In principle the transitions between cladding layers could  
30 also be graded.  
35

FIG. 1 shows the profile of an exemplary multiple depressed cladding fiber having a "trench" 14 between the

core and the first cladding region, and a "gap" 15 between ring 13 and second cladding 12. The core radius is  $a$ , the inner and outer radii of the first cladding region are  $R_{1i}$  and  $R_{1o}$ , respectively, the inner and outer radii of the ring are  $R_{3i}$  and  $R_{3o}$ , respectively, and the inner radius of the second cladding region is  $R_{2i}$ , with the outer boundary of the fiber not being shown. The same symbols are used in FIGS. 2 and 3. FIG. 1 is intended to be exemplary only. For instance, it is not necessary that  $R_{3i} = R_{1o}$ .

FIG. 2 shows the profile of an exemplary fiber having substantially matched indices, with a gap 15 between the ring and the second cladding region. Of course, it will be appreciated that such a gap need not be present, and indeed frequently will not be present in matched cladding fibers according to the invention. FIG. 3 depicts the profile of an exemplary fiber having depressed index cladding. The Figure also illustrates the meaning of the refractive indices  $n_c$ ,  $n_1$ ,  $n_2$ , and  $n_3$ , and of the normalized indices  $\Delta$ ,  $\Delta^+$ , and  $\Delta^-$ , as well as those of the ring parameters  $W$  and  $h$ .

Although the invention can be practiced with multiple cladding fibers, it is currently believed that the invention can be most advantageously used in fibers that have only two cladding regions, in addition to the ring. An exemplary profile of such fiber is depicted in FIG. 3. The profile of FIG. 2 is also of this type if  $R_{3o} = R_{2i}$ . The discussion below is primarily in terms of a depressed cladding fiber of the type shown in FIG. 3. This is for purposes of concreteness only, and is not intended to imply that the invention is so limited. Those skilled in the art will appreciate that the principles of the invention can be applied to other fiber types as well, and will easily be able to do so.

The normalized index differences  $\Delta$ ,  $\Delta^+$ ,  $\Delta^-$ , and the "height"  $h$  and "width"  $W$  of the ring are defined as follows:

- 9 -

$$\Delta^+ = (n_c^2 - n_2^2)/2n_2^2$$

$$5 \quad \Delta^- = (n_2^2 - n_1^2)/2n_2^2$$

$$\Delta = \Delta^+ + \Delta^-$$

$$h = \Delta_R/\Delta^-, \text{ where}$$

10

$$\Delta_R = (n_3^2 - n_1^2)/2n_2^2.$$

We have discovered that by appropriate choice of fiber parameters, especially of ring parameters such as  $h$ ,  $W$  and  $R_{3i}$ , it is possible to strengthen the guiding properties of the fiber for the  $LP_{01}$  mode relative to  $LP_{11}$  and other higher order modes. This in turn can result in lower macrobending loss of the fiber, in a reduced cut-off wavelength, in reduced microbending loss, in improved manufacturability of the fiber, or in combinations of the above, without significantly affecting such important fiber parameters as bandwidth and spot size.

In general, the ring parameters are to be chosen such that, at the operating wavelength (or wavelengths) of the system, the amplitude of the  $LP_{01}$  mode at  $R_{3i}$  is very small, typically such that the square of the amplitude at  $R_{3i}$  is less than  $10^{-5}$  of the square of its amplitude at the center of the core. Furthermore, the parameters are also to be chosen such that at  $R_{2i}$  the square of the amplitude of the  $LP_{11}$  mode is at least 50%, preferably more than 100%, larger than the square of the amplitude of the  $LP_{11}$  mode in a second fiber that does not have a ring but is identical to the inventive fiber in all other respects, the comparison being made at the cut-off wavelength of the second fiber. And lastly, the parameters are to be chosen such that, in the

fiber according to the invention, at the operating wavelength(s), the square of the amplitude of the  $LP_{01}$  mode at  $R_{2i}$  is at most 50%, preferably no more than 10%, larger than that of the  $LP_{01}$  mode of the second fiber, at the same radius and wavelength(s). The first condition assures that the influence of the ring on fiber parameters such as spot size and bandwidth is substantially negligible, whereas the latter two assure substantial differential attenuation of  $LP_{11}$  relative to  $LP_{01}$ .

As will be appreciated by practitioners of the art, the above referred to mode amplitudes can, for essentially any given fiber, be reliably determined by means of calculation. A particularly advantageous calculation method is described in T. A. Lenahan, The Bell System Technical Journal, Vol. 62(9), November 1983, pp. 2663-2694. After determination of the refractive index profile of a fiber or of a fiber preform (see, for instance, page 2688 of the above Lenahan reference for an example of an actual preform profile) by known methods, the mode amplitude can be calculated for any mode, wavelength, or radial position in the fiber. For some other computational methods, it may be required that the equivalent profile be determined first. It will also be understood that, in order to facilitate comparison of the inventive fiber with the reference fiber, normalized mode amplitudes are to be computed, and all comparisons herein refer to normalized amplitudes.

We will next present some simple theoretical considerations. It can be shown that, for fiber with a single inner cladding region, the leakage loss for a higher order mode is directly proportional to the square of the amplitude of the mode at  $R_{2i}$ . Likewise, bending loss for  $LP_{01}$  is approximately proportional to the square of the amplitude of  $LP_{01}$  at  $R_{2i}$ . Since both  $LP_{01}$  and higher order modes have radially exponentially decaying waveforms in the inner cladding

the radial decay constants are approximately

$$\eta_j = (2\pi/\lambda)(n_{e,j}^2 - n_1^2)^{1/2}$$

5 where  $j=1$  for  $LP_{01}$ ,  $j=2$  for  $LP_{11}$ , etc., and  $n_{e,j}$  is the effective refractive index for the  $j$ th mode. For a definition of the effective index of a mode, see, for instance, page 2673, equations 44 and 45, of the above cited paper by Lenahan. Typically, at a wavelength  
10 above the cut-off wavelength  $\lambda_c$ ,  $n_{e,1} > n_{e,2}$ , and therefore,  $\eta_1 > \eta_2$ .

Addition of a ring to the profile causes the decay constants in the ring region to change to

$$15 \quad \eta_j' = (2\pi/\lambda)(n_{e,j}^2 - n_e^2)^{1/2},$$

with the decay constants satisfying

$$1 \geq \eta_1'/\eta_1 > (\eta_2'/\eta_2) \geq 0.$$

20 This shows that the ring has proportionately greater effect on higher order modes than on the fundamental mode. By placing the ring sufficiently far from the core, e.g., such that  $R_{3i}$  is greater than about  $4a$ , it can be assured that, at least in some fiber designs, the ring affects only  
25 the tails of the waveforms.

Results of exemplary calculations for a depressed cladding silica-based fiber ( $2a = 8.3 \mu\text{m}$ ,  $\Delta^+ = 0.25\%$ ,  $\Delta^- = 0.15\%$ ) are given in Table I, in which  $\alpha_{1.20}$  is the (longitudinal) attenuation constant for  $LP_{11}$  at a  
30 wavelength of  $1.20 \mu\text{m}$  (i.e., below the cut-off wavelength of the fiber) for a straight fiber, and  $\alpha_{1.60}$  is the macrobending attenuation constant for  $LP_{01}$  at a wavelength of  $1.60 \mu\text{m}$  (i.e., above the cut-off wavelength of the fiber) for fiber having a constant 1.5 inch radius  
35 of curvature.



- 12 -

Table I  
Calculated Leakage Loss

Ring	$R_{2i}/a$	LP <sub>11</sub>	LP <sub>01</sub>
		$\alpha_{1.20}$ (dB/m)	$\alpha_{1.60}$ (dB/km)
5 -----			
None	6.5	2.85	1.62
None	7.5	0.41	0.12
Yes (W=2a, h=0.20)	7.5	2.70	0.205

10           The above results show that increasing the thickness of the inner cladding results in decreased attenuation for LP<sub>11</sub> and decreased bending loss for LP<sub>01</sub>, whereas addition of the ring to the fiber with increased cladding thickness substantially increases the  
15 attenuation of LP<sub>11</sub>, without substantially affecting the bending loss of LP<sub>01</sub>.

          The details of the effects produced by addition of a ring depend, inter alia, on the parameters of the ring, as is exemplified by the data in Table II. The fiber was  
20 again assumed to be a depressed index cladding fiber of the type shown in FIG. 3, with  $2a = 8.3 \mu\text{m}$ ,  $R_{2i}/a = 6.5$ ,  $\Delta^+ = 0.25\%$ ,  $\Delta^- = 0.15\%$ .

Table II

25           Calculated Leakage Loss				
W/2a	Ring	LP <sub>11</sub>	LP <sub>01</sub>	
	h(% $\Delta^-$ )	$\alpha_{1.20}$ (dB/m)	$\alpha_{1.60}$ (dB/km)	
30	1.25	30	173	4.3
		20	26.7	3.1
		10	7.6	2.2
35	0.75	30	37.6	3.0
		20	12.3	2.4
		10	5.4	2.0
	None		2.85	1.62



The results show that, for the fiber and the rings considered, suppression of  $LP_{11}$  is greatest for the "widest" and "highest" ring. Addition of a ring with  $W/2a = 1.25$ , and  $h = 30\%$  was found to reduce  $\lambda_c$  from about  
 5 1.21  $\mu\text{m}$  to about 1.14  $\mu\text{m}$ .

FIG. 4 shows exemplary computed contours of those ring parameters ( $W, h$ ) that result in cut-off wavelengths of 1.20, 1.15, 1.10, and 1.05  $\mu\text{m}$ , in a depressed cladding silica fiber of the type depicted in FIG. 3, with  $2a = 8.3$   
 10  $\mu\text{m}$ ,  $R_{2i} = R_{30}$ ,  $R_{2i}/a = 6.5$ ,  $\Delta^+ = 0.25\%$ , and  $\Delta^- = 0.15\%$ . In the absence of the ring (i.e.,  $h = W = 0$ ), the cut-off wavelength of the fiber is 1.21  $\mu\text{m}$ . The contours show that beyond a certain ring height that depends on the ring width, the cut-off wavelength depends  
 15 substantially only on the width. For instance, when  $W = 1.2a$  and  $h \geq 0.5 \Delta^-$ ,  $\lambda_c$  is 1.15  $\mu\text{m}$ ; increasing  $W$  to 1.8a gives  $\lambda_c = 1.10 \mu\text{m}$ , for  $h \geq 0.6 \Delta^-$ .

The exemplary computed contours in FIG. 5 indicate the ring parameters that result in macrobending loss of  
 20  $LP_{01}$  (for 1.5 inch radius of curvature) of 0.75 dB/km, 1.0 dB/km, and 2 dB/km at a wavelength of 1.55  $\mu\text{m}$ , for the fiber that was used in FIG. 4. With no ring the loss is calculated to be 0.56 dB/km. The contours indicate that macrobending loss grows slowly with the ring size. As  
 25 mentioned before, this growth can be prevented by an increase of the deposited cladding thickness.

FIG. 6 schematically shows a telecommunication system comprising a radiation source 60 which emits radiation 61, coupled by coupling means 62 into fiber 63.  
 30 The radiation is guided axially through the fiber and is emitted from the output end of the fiber. Emitted radiation 64 is detected by detector 65. The detector output can be processed by known means. Optical communications systems are well known to those skilled in  
 35 the art and need no detailed discussion here. It will be appreciated that all the optical fiber in a system according to the invention can be fiber according to the

- 14 -

invention. On the other hand, such a system may contain prior art fiber, as well as fiber according to the invention. Typically, a length of inventive fiber may be optically coupled to prior art fiber, thereby forming an optically continuous transmission path for radiation of the system operating wavelength.

Example:

A preform was manufactured by the standard MCVD process. The preform refractive index profile was measured and the fiber core diameter corresponding to a standard 125  $\mu\text{m}$  diameter fiber was determined to be 8.3  $\mu\text{m}$ . From the same measurement, the normalized refractive index difference  $\Delta$  was found to be 0.36%,  $\Delta^- = 0.12\%$ ,  $h/\Delta^- = 0.28$ ,  $W/a = 2.1$ . Several fibers were drawn from the collapsed preform by a standard method, the outside diameter of the fibers being 125  $\mu\text{m}$ . These fibers were found to have an average cut-off wavelength of 1.20  $\mu\text{m}$  and an average loss (including the macrobending loss induced by a three inch radius of curvature) of 0.25 dB/km at 1.55  $\mu\text{m}$ . A similarly prepared fiber, with essentially the same parameters ( $2a = 8.3 \mu\text{m}$ ,  $\Delta = 0.37\%$ ,  $\Delta^- = 0.12\%$ ) as the above described inventive fiber, except having no index ring, was found to have a cut-off wavelength of 1.28  $\mu\text{m}$  and a loss at 1.55  $\mu\text{m}$  of 0.24 dB/km for the same radius of curvature. Other fiber characteristics such as spot size and bandwidth were substantially the same in the two fibers.

30

35

Claims

1. Optical fiber adapted for guiding electromagnetic radiation, suitable for use in optical fiber communication system, guided radiation comprising a  
5  $LP_{01}$  mode and, possibly, a  $LP_{11}$  mode, the fiber being a single mode fiber for electromagnetic radiation of an operating wavelength, the fiber to be referred to as the first fiber, the first fiber comprising

a) a core region having an equivalent radius  $a$   
10 and an equivalent maximum refractive index  $n_c$ ;

b) a first cladding region surrounding the core and having an equivalent outer radius  $R_{10}$ , an equivalent inner radius  $R_{1i}$ , and an equivalent refractive index  $n_1$ , with  $n_1 < n_c$ ; and

15 c) a second cladding region surrounding the first cladding region and having an equivalent inner radius  $R_{2i}$  and an equivalent refractive index  $n_2$ , with  $n_2 > n_1$ ;

## CHARACTERIZED IN THAT

20 the first fiber further comprises a third cladding region having an equivalent inner radius  $R_{3i}$ , an equivalent outer radius  $R_{30}$ , and an equivalent refractive index  $n_3$ , with  $R_{30} < R_{2i}$ ,  $R_{10} < R_{3i}$ , and  $n_3 > n_1$ ; wherein  $n_3$ ,  $R_{3i}$  and  $R_{30}$   
25 are chosen such that

d) at  $R_{3i}$  the square of the amplitude of the  $LP_{01}$  mode is at most  $10^{-5}$  of the square of the amplitude of the  $LP_{01}$  mode at the center of the core, for radiation of the operating wavelength of the optical  
30 fiber;

e) at  $R_{2i}$  in the first fiber, the square of the amplitude of the  $LP_{11}$  mode is at least 50% larger than the square of the amplitude of the  $LP_{11}$  mode in a second fiber at a radius equal to  $R_{2i}$ , the second fiber  
35 being identical to the first fiber except that in the second fiber  $R_{3i} = R_{30}$ , the third cladding region thus being absent in the second fiber, the second fiber

having a cut-off wavelength, the squares of the amplitudes being compared at the cut-off wavelength of the second fiber; and

5 f) at  $R_{2i}$  and at the operating wavelength, the square of the amplitude of the  $LP_{01}$  mode in the first fiber is at most 50% larger than the square of the amplitude of the  $LP_{01}$  mode in the second fiber, at the same wavelength and the same radius.

10 2. Fiber according to claim 1,  
CHARACTERIZED IN THAT

$$R_{3o} = R_{2i}.$$

3. Fiber according to claim 2,  
CHARACTERIZED IN THAT

$$R_{1i} = a, \text{ and } R_{1o} = R_{3i}.$$

15 4. Fiber according to claim 3,  
CHARACTERIZED IN THAT

$$n_1 < n_2.$$

5. Fiber according to claim 4,  
CHARACTERIZED IN THAT

20  $R_{3i} > 4a.$

6. Fiber according to claim 5,  
CHARACTERIZED IN THAT

$$n_1 < n_3 < n_2.$$

25 7. Fiber according to claim 6,  
CHARACTERIZED IN THAT

$$0.1\Delta^- < h < 0.75\Delta^-, \text{ with } h = (n_3^2 -$$

$$n_1^2)/(n_2^2 - n_1^2), \text{ and } \Delta^- =$$

30  $(n_2^2 - n_1^2)/2n_2^2.$

8. Fiber according to claim 7,  
CHARACTERIZED IN THAT

$$R_{3o} - R_{3i} > a.$$

35 9. Fiber according to claim 7,  
CHARACTERIZED IN THAT

$$R_{2i}/a > 6.5.$$

- 17 -

10. Fiber according to claim 4,  
 CHARACTERIZED IN THAT  
 the first fiber is a silica-based fiber, and at  
 least the first and the third cladding regions comprise  
 5 fluorine.

11. Fiber according to claim 10,  
 CHARACTERIZED IN THAT  
 the core comprises an element selected from  
 Ge, Al, and P.

10 12. Fiber according to claim 1,  
 CHARACTERIZED IN THAT  
 $R_{30} = R_{2i}$ ,  $R_{1i} = a$ ,  $R_{10} = R_{3i}$ ,  
 $n_c > n_2$ ,  $n_1 < n_2$ , and  $n_1 < n_3$ .  
 13. System according to claim 12,  
 15 CHARACTERIZED IN THAT  
 $0.1\Delta^- < h < 0.75\Delta^-$ , with  $h = (n_3^2 -$   
 $n_1^2)/(n_2^2 - n_1^2)$ ,  
 and  $\Delta^- = (n_2^2 - n_1^2)/2n_2^2$ ,  
 and  $R_{30} - R_{3i} > a$ .

20 14. System according to claim 13,  
 CHARACTERIZED IN THAT  
 $R_{2i}/a > 6.5$ .

15. System according to claim 14,  
 CHARACTERIZED IN THAT  
 25  $n_1 < n_2$ .

16. Fiber according to claim 1, being used in an  
 optical fiber communication system comprising a  
 source of electromagnetic radiation of wavelength,  
 means for coupling the radiation into the optical  
 fiber at a first fiber region, and means for  
 30 detecting radiation emitted from the fiber at a  
 second fiber region spaced from the first fiber  
 region.

CHARACTERIZED IN THAT  
 35 at  $R_{2i}$  and at the cut-off wavelength of the  
 second fiber, the square of the amplitude of the  $LP_{11}$   
 mode in the first fiber is at least 100% larger than the

- 18 -

square of the amplitude of the  $LP_{11}$  mode in the second fiber, at the same wavelength and the same radius.

17. Fiber according to claim 16,

CHARACTERIZED IN THAT

5           at  $R_{2i}$  and at the operating wavelength, the square of the amplitude of the  $LP_{01}$  mode in the first fiber is at most 10% larger than the square of the amplitude of the  $LP_{01}$  mode in the second fiber, at the same wavelength and the same radius.

10

15

20

25

30

35

## AMENDED CLAIMS

[received by the International Bureau on 10 July 1986 (10.07.86);  
original claim 1 amended; other claims unchanged (1 page)]

1. (Amended) Optical fiber adapted for guiding electromagnetic radiation, suitable for use in optical fiber communication system, guided radiation comprising a
  - 5  $LP_{01}$  mode and, possibly, a  $LP_{11}$  mode, the fiber being a single mode fiber for electromagnetic radiation of an operating wavelength, the fiber to be referred to as the first fiber, the first fiber comprising
    - a) a core region having an equivalent radius  $a$ 
10 and an equivalent maximum refractive index  $n_c$ ;
      - b) a first cladding region surrounding the core and having an equivalent outer radius  $R_{10}$ , an equivalent inner radius  $R_{1i}$ , and an equivalent refractive index  $n_1$ , with  $n_1 < n_c$ ; and
 15 c) a second cladding region surrounding the first cladding region and having an equivalent inner radius  $R_{2i}$  and an equivalent refractive index  $n_2$ , with  $n_2 > n_1$ ;
 

CHARACTERIZED IN THAT

the first fiber further comprises a third

20 cladding region having an equivalent inner radius  $R_{3i}$ , an equivalent outer radius  $R_{30}$ , and an equivalent refractive index  $n_3$ , with  $R_{30} < R_{2i}$ ,  $R_{10} < R_{3i}$ ,  $n_2 > n_3$  and  $n_3 > n_1$ ; wherein  $n_3$ ,  $R_{3i}$  and  $R_{30}$  are chosen such that
        - d) at  $R_{3i}$  the square of the amplitude of the
 25  $LP_{01}$  mode is at most  $10^{-5}$  of the square of the amplitude of the  $LP_{01}$  mode at the center of the core, for radiation of the operating wavelength of the optical fiber;
          - e) at  $R_{2i}$  in the first fiber, the square of the
 30 amplitude of the  $LP_{11}$  mode is at least 50% larger than the square of the amplitude of the  $LP_{11}$  mode in a second fiber at a radius equal to  $R_{2i}$ , the second fiber being identical to the first fiber except that in the second fiber  $R_{3i} = R_{30}$ , the third cladding region thus being absent in the second fiber, the second fiber



1/2

FIG. 1

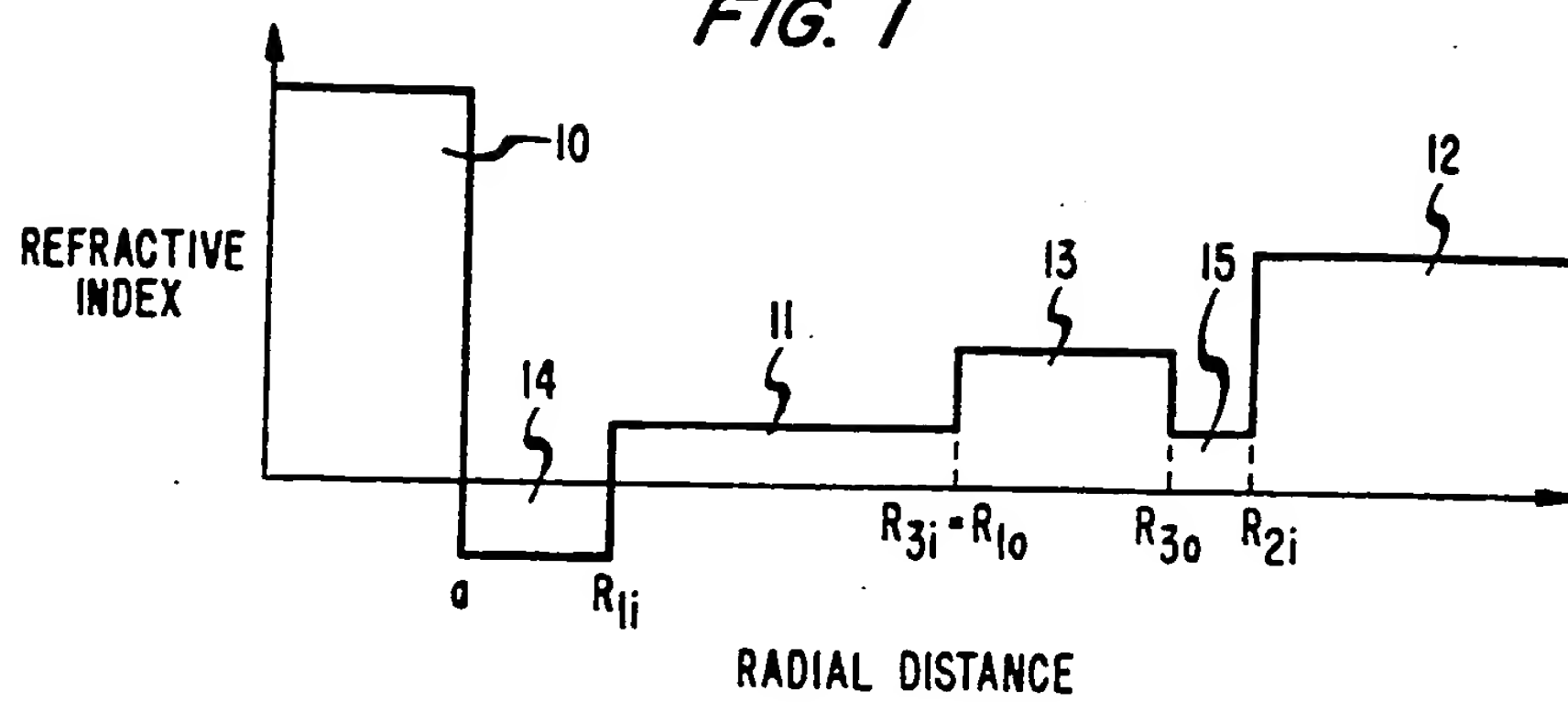


FIG. 2

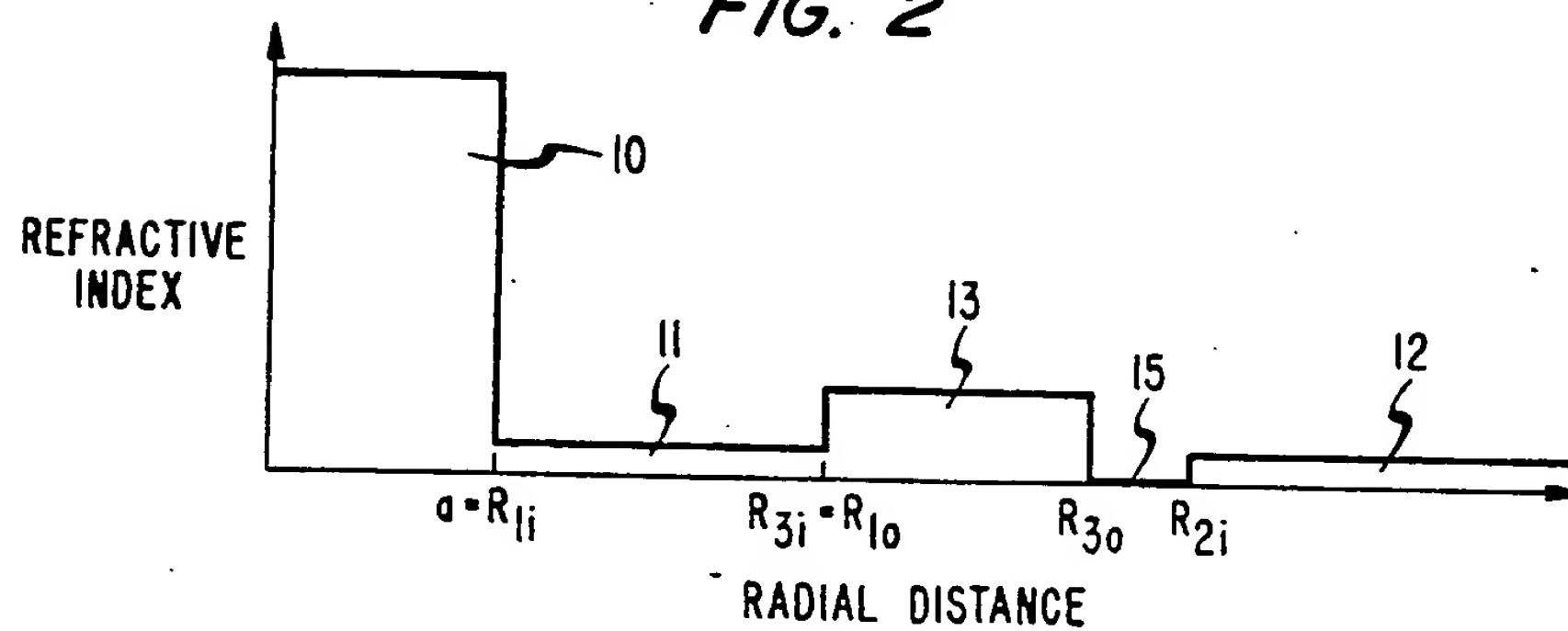
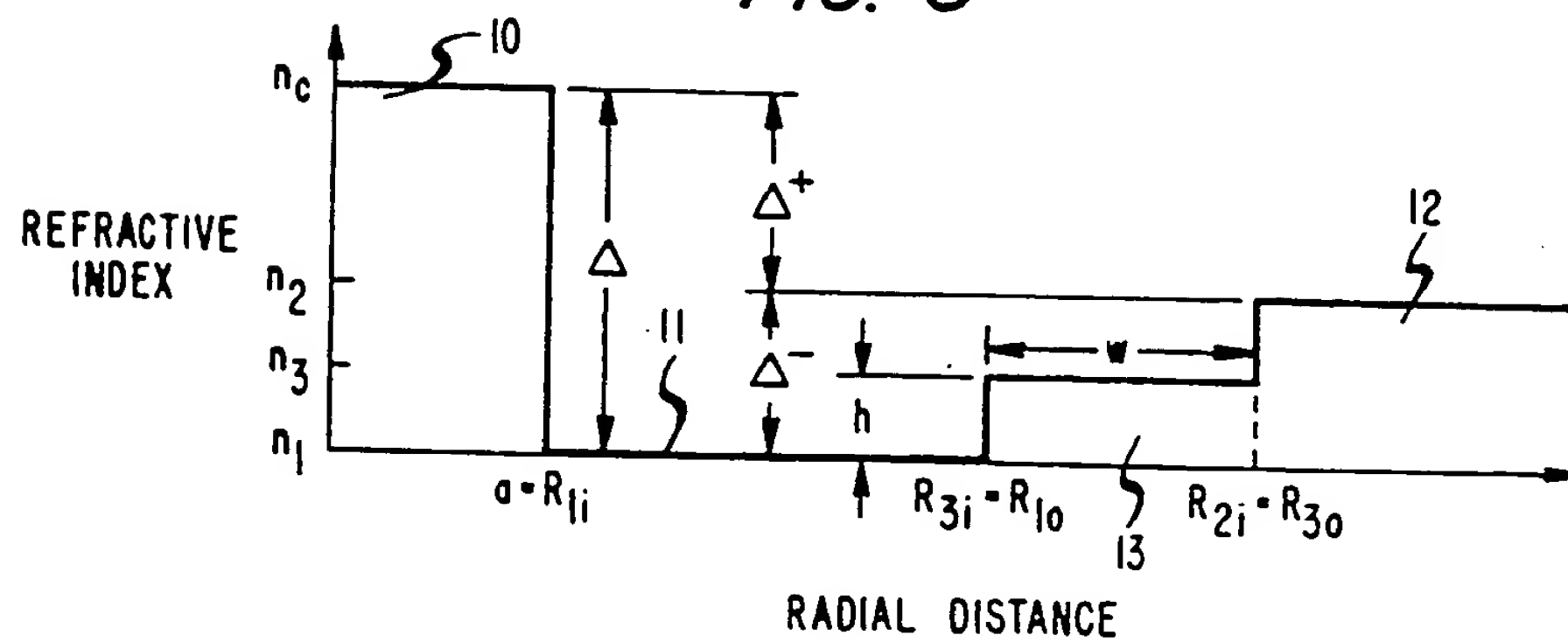


FIG. 3



2/2

FIG. 4

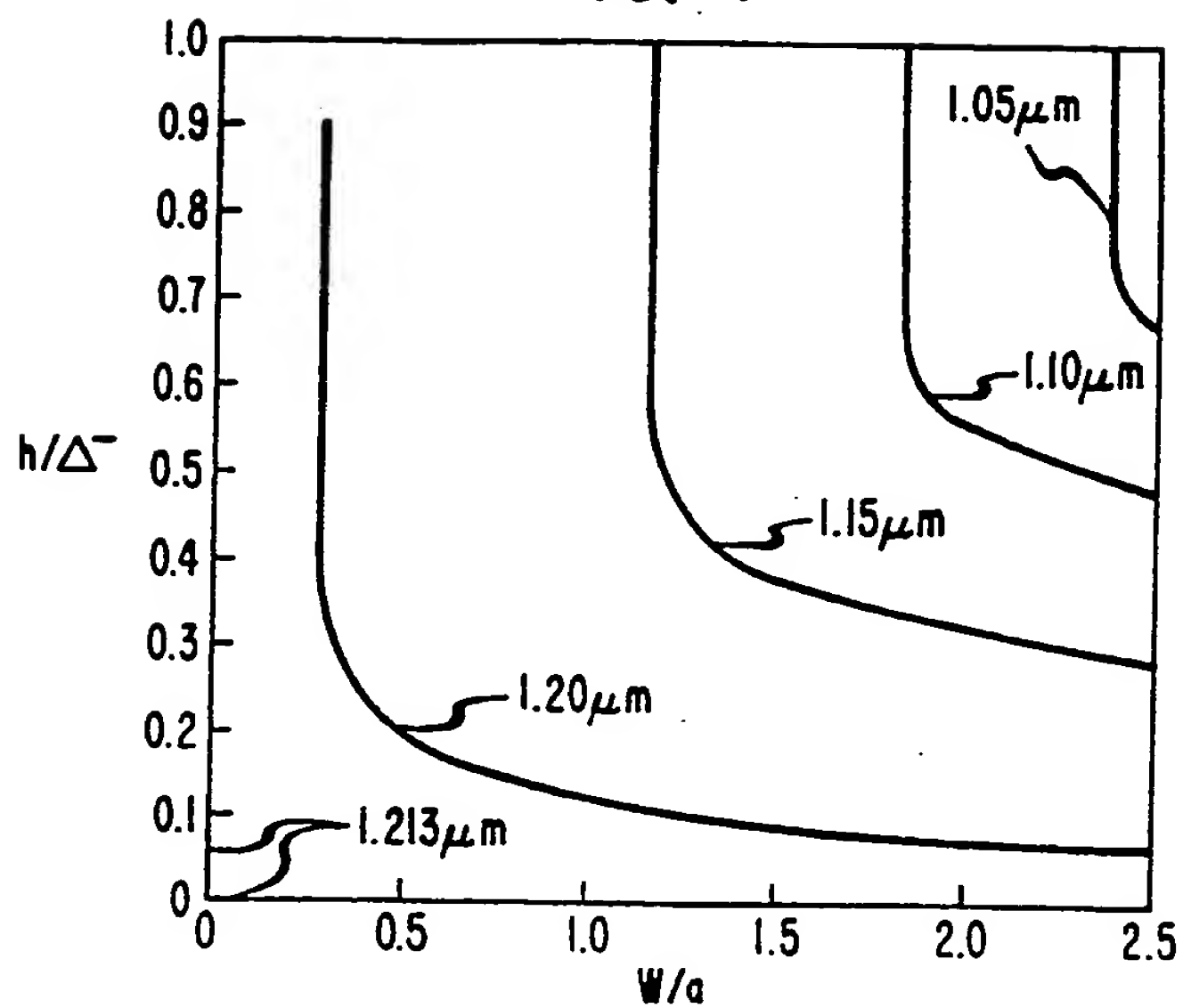


FIG. 5

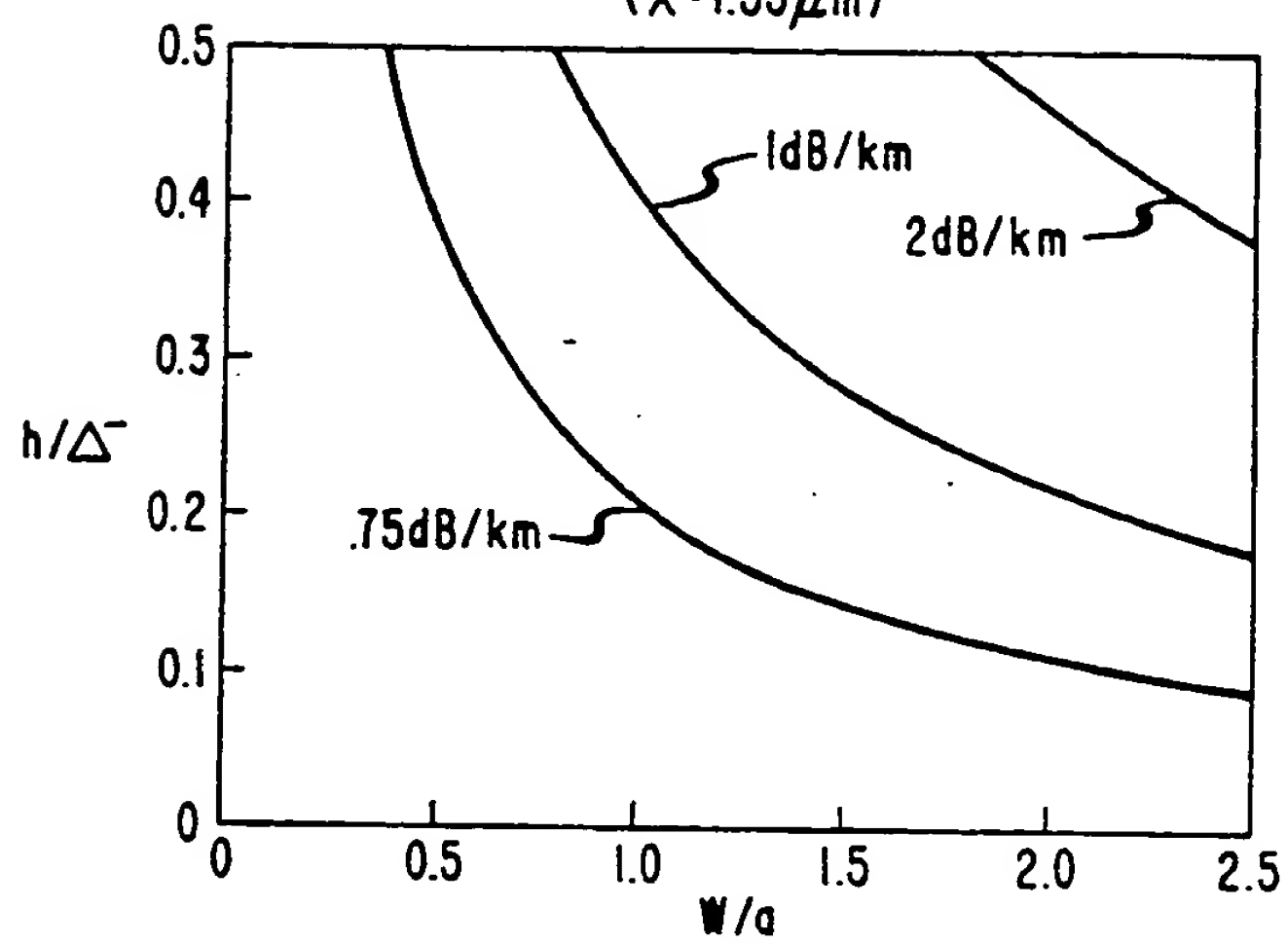
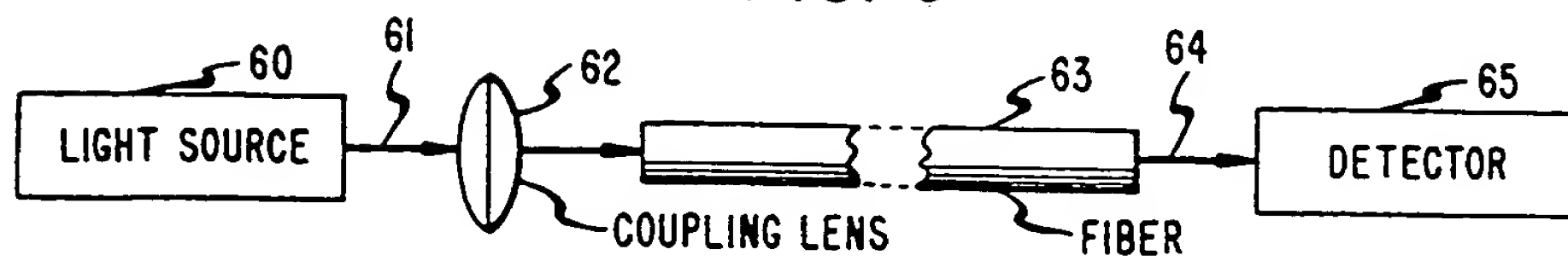
 $(\lambda = 1.55\mu\text{m})$ 

FIG. 6



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 86/00090

## I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) \*

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC<sup>4</sup>: G 02 B 6/16; G 02 B 6/22

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>7</sup>

Classification System

Classification Symbols

IPC<sup>4</sup>      G 02 B

Documentation Searched other than Minimum Documentation  
to the extent that such Documents are included in the Fields Searched \*

## III. DOCUMENTS CONSIDERED TO BE RELEVANT \*

Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	EP, A, 0131634 (ANT NACHTRICHTEN-TECHNIK GMBH) 23 January 1985, see page 4, lines 2-17; page 5, line 26 - page 6, line 5; claims; figure 2	1
A	--	2-4, 10-12
A	GB, A, 2116744 (WESTERN ELECTRIC COMPANY INC.) 28 September 1983, see page 1, lines 14-27; page 1, line 59 - page 2, line 54; page 3, lines 7-14; figure 3	1, 4, 6, 8
A	EP, A, 0041864 (CORNING GLASSWORKS) 16 December 1981, see page 6, line 15 - page 7, line 25; claims; figure 3	1
A	EP, A, 0127408 (CORNING GLASS WORKS) 5 December 1984, see page 5, lines 5-30; page 6, line 18 - page 8, line 14; figures 1-3, 7-10	1
	-----	

\* Special categories of cited documents: <sup>10</sup>

"A" document defining the general state of the art which is not  
considered to be of particular relevance

"E" earlier document but published on or after the international  
filing date

"L" document which may throw doubts on priority claim(s) or  
which is cited to establish the publication date of another  
citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or  
other means

"P" document published prior to the international filing date but  
later than the priority date claimed

"T" later document published after the international filing date  
or priority date and not in conflict with the application but  
cited to understand the principle or theory underlying the  
invention

"X" document of particular relevance: the claimed invention  
cannot be considered novel or cannot be considered to  
involve an inventive step

"Y" document of particular relevance: the claimed invention  
cannot be considered to involve an inventive step when the  
document is combined with one or more other such docu-  
ments, such combination being obvious to a person skilled  
in the art.

"&" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

15th April 1986

Date of Mailing of this International Search Report

30 MAY 1986

International Searching Authority

EUROPEAN PATENT OFFICE

Signature of Authorized Officer

M. VAN MOL

# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON

INTERNATIONAL APPLICATION NO. PCT/US 86/00090 (SA 11996)

This Annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 25/04/86

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A- 0131634	23/01/85	JP-A- 60021009	02/02/85
GB-A- 2116744	28/09/83	FR-A- 2523316	16/09/83
		DE-A- 3307874	22/09/83
		NL-A- 8300880	03/10/83
		JP-A- 58168004	04/10/83
EP-A- 0041864	16/12/81	JP-A- 57027203	13/02/82
		CA-A- 1145172	26/04/83
		US-A- 4385802	31/05/83
EP-A- 0127408	05/12/84	AU-A- 2808684	22/11/84
		JP-A- 59226301	19/12/84

For more details about this annex :  
see Official Journal of the European Patent Office, No. 12/82

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/06958

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G02B6/16 G02B6/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 674 193 A (SUMITOMO ELECTRIC INDUSTRIES) 27 September 1995 (1995-09-27) abstract; figure 1 column 2, line 14 - line 51 column 3, line 2 - line 22 column 6, line 5 - line 18 column 7, line 24 - line 32 ---	1-3, 21, 30
A	US 4 770 492 A (LEVIN PHILIP S ET AL) 13 September 1988 (1988-09-13) abstract; figure 5 column 2, line 17 - line 29 ---	1, 21, 30
A	WO 86 04689 A (AMERICAN TELEPHONE & TELEGRAPH) 14 August 1986 (1986-08-14) abstract; figures 1, 3 page 4, line 25 - page 5, line 7 ---	1, 21, 30
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

6 January 2000

Date of mailing of the international search report

14/01/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Jakober, F

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/06958

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 684 909 A (LIU YANMING) 4 November 1997 (1997-11-04) cited in the application abstract; figures 3,5 column 3, line 25 - line 55 column 4, line 40 - line 60 ---	1,21,30
A	US 4 852 968 A (REED WILLIAM A) 1 August 1989 (1989-08-01) cited in the application abstract; figures 1-4 -----	1,21,30

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 99/06958

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0674193 A	27-09-1995	JP 7261048 A	13-10-1995
		US 5555340 A	10-09-1996
		US 5742723 A	21-04-1998
US 4770492 A	13-09-1988	WO 8904006 A	05-05-1989
WO 8604689 A	14-08-1986	US 4641917 A	10-02-1987
		CA 1252657 A	18-04-1989
		EP 0211862 A	04-03-1987
		JP 62501733 T	09-07-1987
US 5684909 A	04-11-1997	AU 706828 B	24-06-1999
		AU 3202197 A	22-09-1997
		CA 2246445 A	12-09-1997
		CN 1212057 A	24-03-1999
		JP 11506228 T	02-06-1999
		WO 9733188 A	12-09-1997
US 4852968 A	01-08-1989	CA 1302756 A	09-06-1992
		DE 3784419 A	08-04-1993
		DK 363187 A	09-02-1988
		EP 0260795 A	23-03-1988
		HK 117193 A	05-11-1993
		JP 2618400 B	11-06-1997
		JP 63043107 A	24-02-1988
		KR 9601319 B	25-01-1996
		SG 59993 G	09-07-1993